



RTS 28 Disclosure 2020

Introduction

Alp Financial Limited (“AlpFin”) is a matched-principal broker in over-the-counter rolling spot foreign exchange contracts, precious metals and CFDs (“Spot FX and CFDs”). In relation to Spot FX and CFDs transactions that clients enter into with AlpFin, AlpFin acts as principal and not as agent on clients’ behalf. AlpFin is therefore the sole execution venue for orders. This means that trades that are entered into will be between clients and AlpFin, AlpFin aims to provide clients with a customised, competitive price feed. This price feed is derived from multiple third-party pricing inputs. These include, but are not limited to banks, specialist non-bank providers, ECNs and primary market sources.

AlpFin Top 5 Trading Venues for Professional Clients & ECPs

| Class of Instrument | Rolling spot foreign exchange contracts and CFDs | | | | |
|---|--|--|------------------------------|---------------------------------|-------------------------------|
| Notification if < 1 average trade per business day in the previous year | N | | | | |
| Top five execution venues ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of directed orders |
| Alp Financial Limited 2549006INHOBUTJA1X91 | 100% | 100% | 0% | 100% | 0% |

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Alp Financial Limited

Level 30
40 Bank Street
London E14 5NR

T: 020 8049 7488
E: info@alpfin.com
W: www.alpfin.com